



Binary symmetric channel

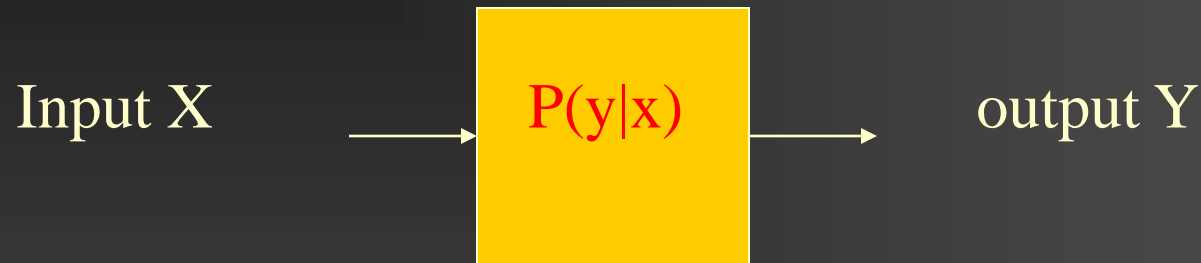
content

- Introduction
 - Entropy and some related properties
 - Source coding
 - Channel coding
 - Multi-user models
 - Constraint sequence
 - Applications to cryptography
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This lecture

- Some models
 - Channel capacity
 - converse
-

some channel models



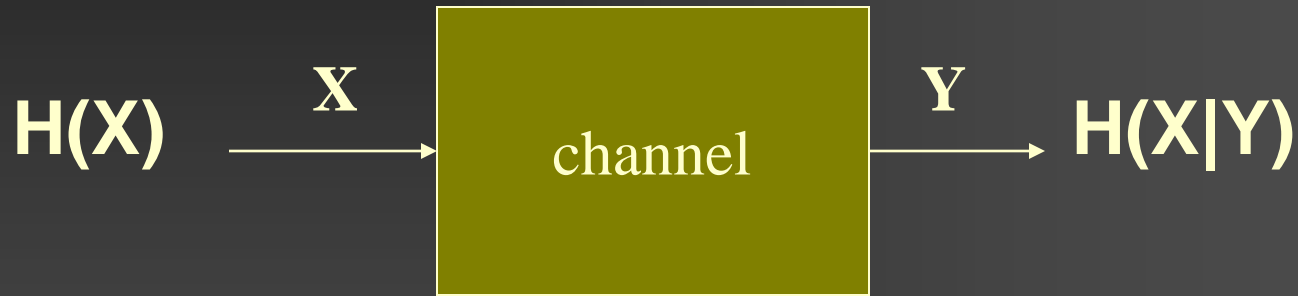
transition probabilities

memoryless:

- output at time i depends only on input at time i
- input and output alphabet finite

channel capacity:

$$I(X;Y) = H(X) - H(X|Y) = H(Y) - H(Y|X) \text{ (Shannon 1948)}$$

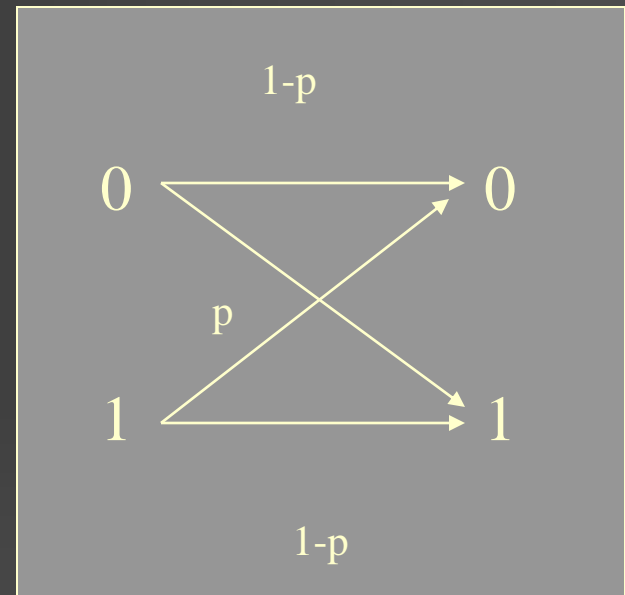
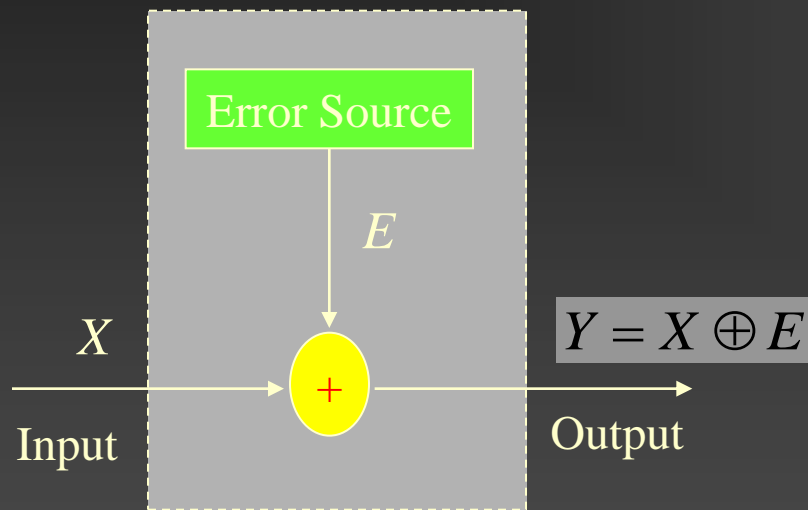


$$\max_{P(x)} I(X; Y) = \text{capacity}$$

notes:

capacity depends on input probabilities
because the transition probabilities are fixed

channel model: binary symmetric channel



E is the **binary error sequence** s.t. $P(1) = 1-P(0) = p$

X is the **binary information sequence**

Y is the **binary output sequence**

burst error model

Random error channel; outputs independent

Error Source \longrightarrow $P(0) = 1 - P(1)$;

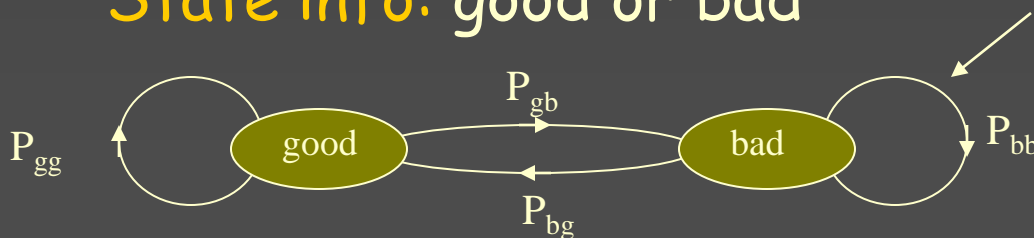
Burst error channel; outputs dependent

Error Source \longrightarrow

$$P(0 \mid \text{state} = \text{bad}) = P(1 \mid \text{state} = \text{bad}) = 1/2;$$

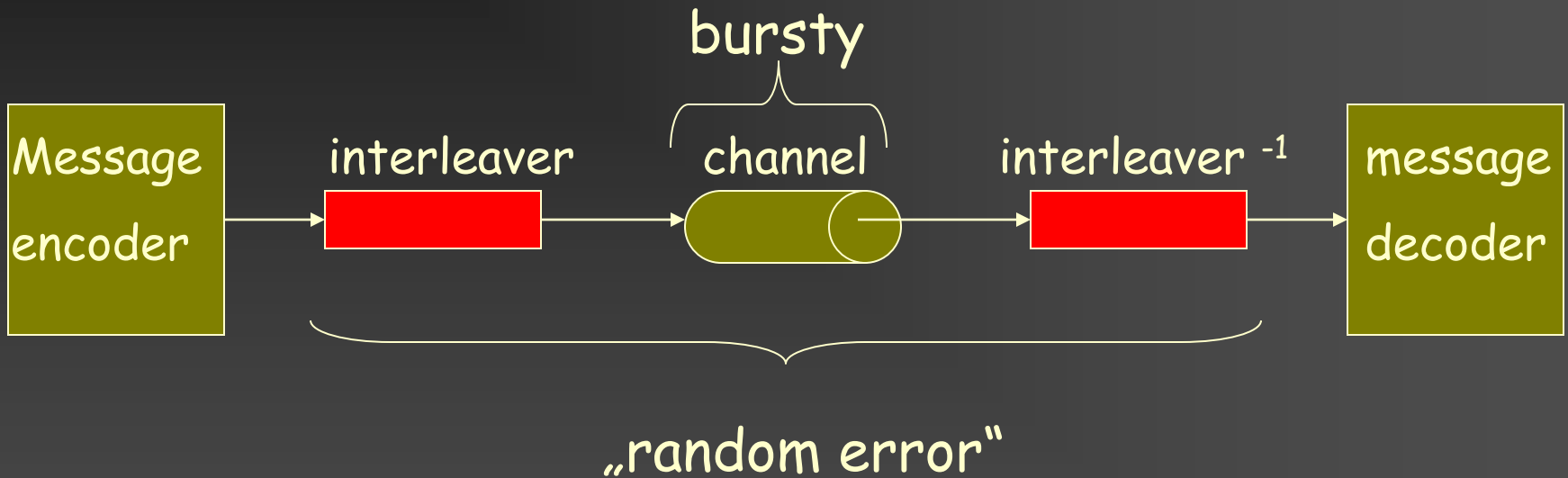
$$P(0 \mid \text{state} = \text{good}) = 1 - P(1 \mid \text{state} = \text{good}) = 0.999$$

State info: good or bad



transition probability

Interleaving:



Note: interleaving brings encoding and decoding delay

Homework: compare the block and convolutional interleaving w.r.t. delay

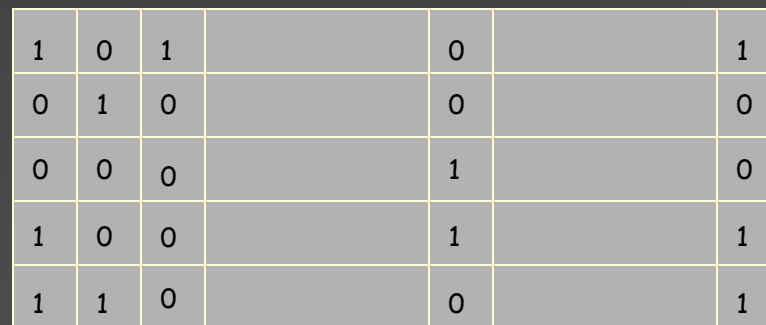
Interleaving: block

Channel models are difficult to derive:

- burst definition ?
- random and burst errors ?

for practical reasons: convert burst into random error

read in row wise



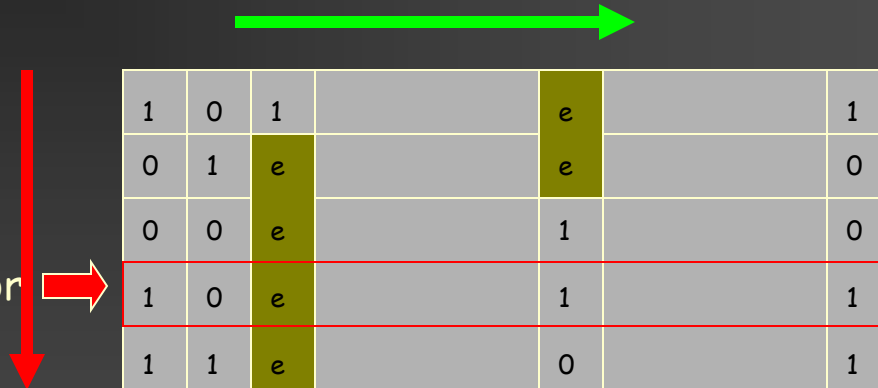
1	0	1		0		1
0	1	0		0		0
0	0	0		1		0
1	0	0		1		1
1	1	0		0		1

transmit
column wise

De-Interleaving: block

read in column
wise

this row contains 1 error

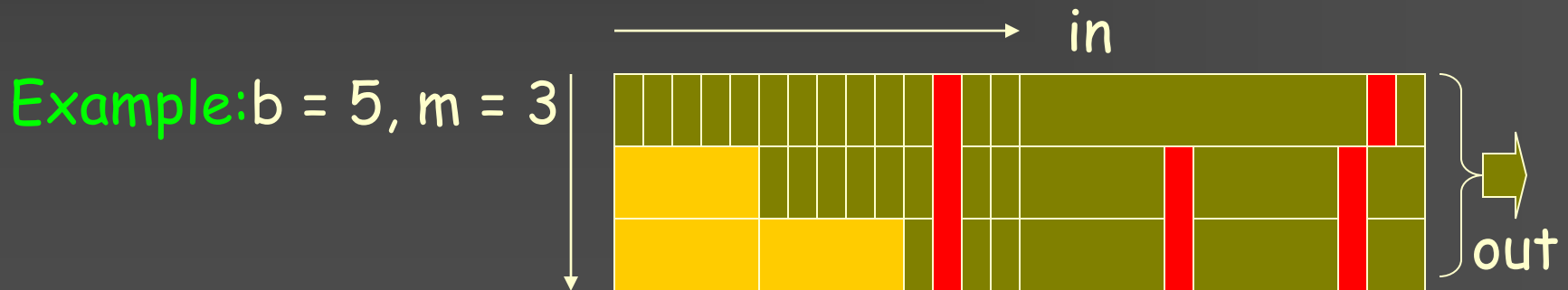
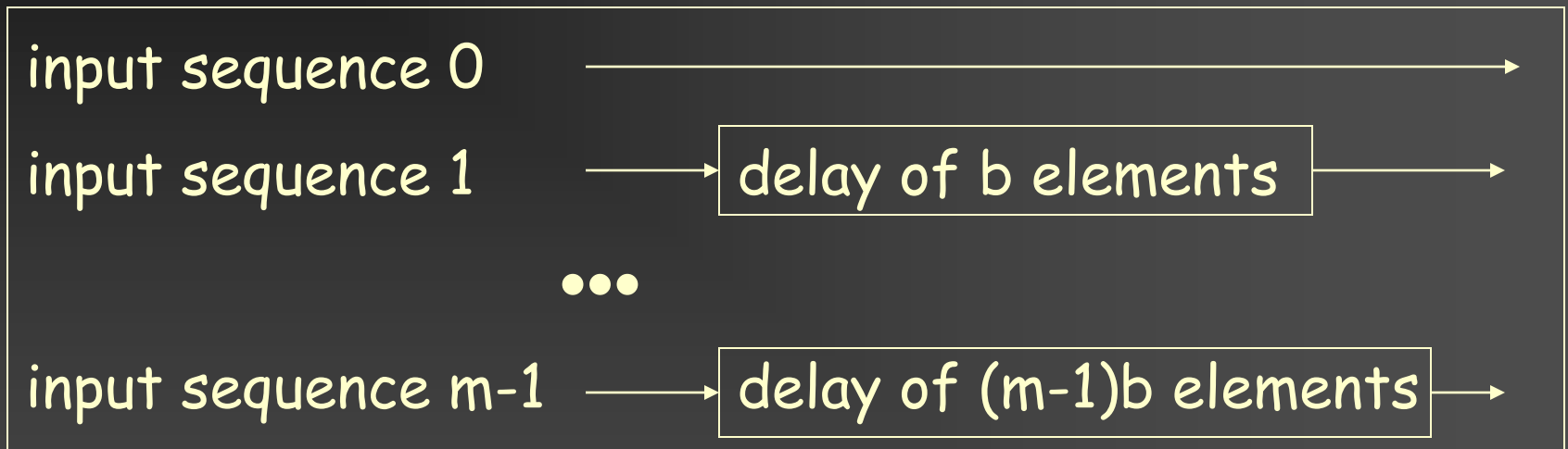


A 5x6 grid of data. The first three columns contain binary digits (1, 0, 1) and the last three columns contain binary digits (1, 0, 0). The second column contains an error 'e' in the second, third, fourth, and fifth rows. The fourth row is highlighted with a red border, indicating it contains one error. A red arrow points down from the text 'this row contains 1 error' to the fourth row. A red arrow points right from the text 'this row contains 1 error' to the fourth row. A green arrow points right above the grid.

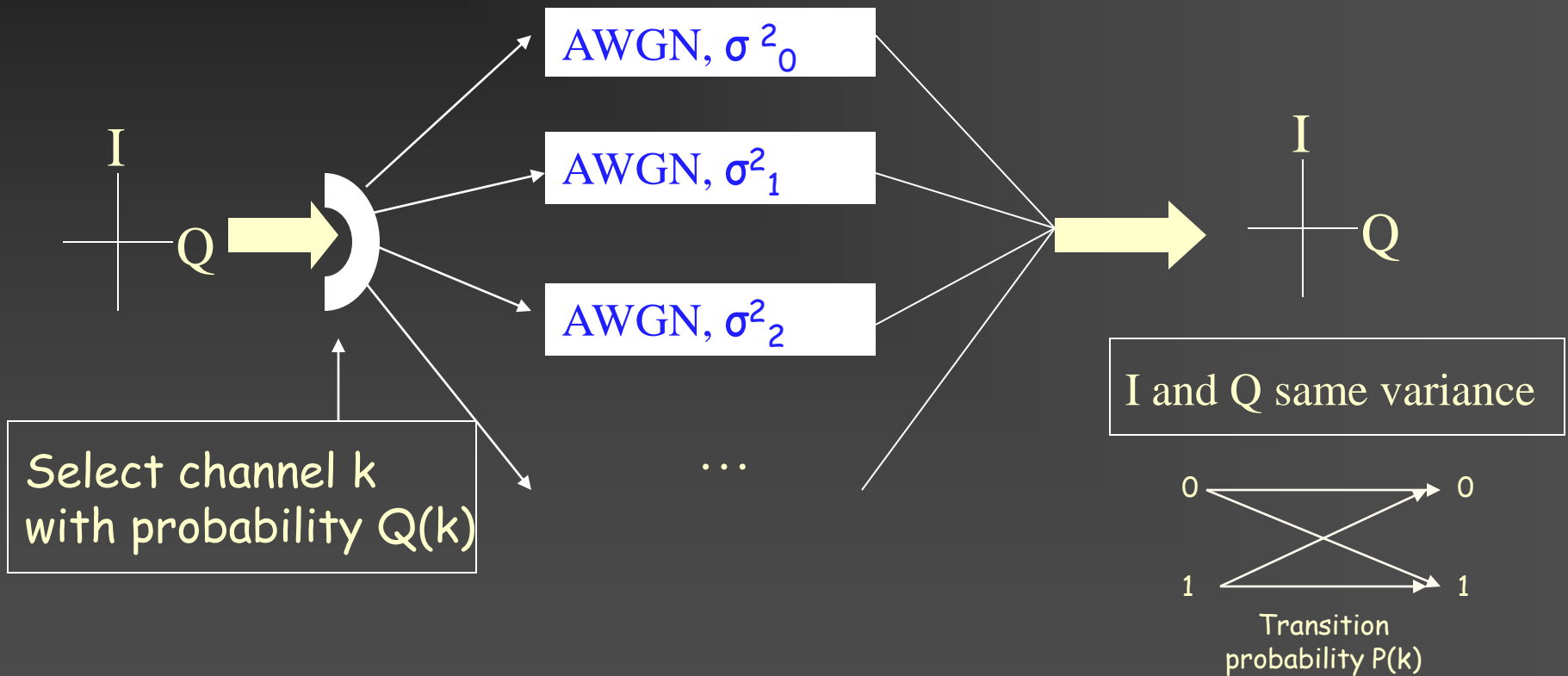
1	0	1		e		1
0	1	e		e		0
0	0	e		1		0
1	0	e		1		1
1	1	e		0		1

read out
row wise

Interleaving: convolutional



Class A Middleton channel model



Example: Middleton's class A

$$\Pr\{ \sigma = \sigma(k) \} = Q(k), \quad k = 0, 1, \dots$$

$$\sigma(k) := \left(\frac{k\sigma_I^2 / A + \sigma_G^2}{\sigma_I^2 + \sigma_G^2} \right)^{1/2}$$

$$Q(k) := \frac{e^{-A} A^k}{k!}$$

A is the impulsive index

σ_I^2 and σ_G^2 are the impulsive and Gaussian noise power

Example of parameters

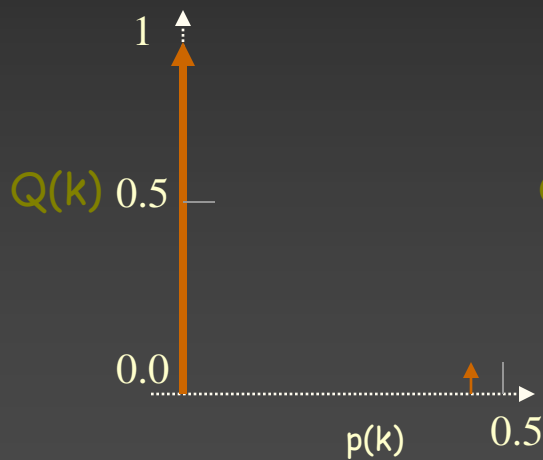
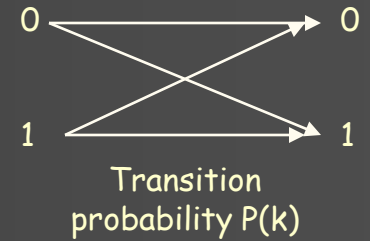
- Middleton's class $A = 1$; $E = \sigma = 1$; $\sigma_1 / \sigma_G = 10^{-1.5}$

k	Q(k)	p(k) (= transition probability)
0	0.36	0.00
1	0.37	0.16
2	0.19	0.24
3	0.06	0.28

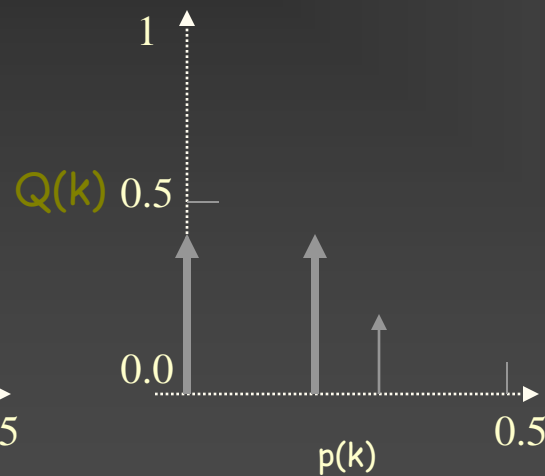
Average $p = 0.124$; Capacity (BSC) = 0.457

Example of parameters

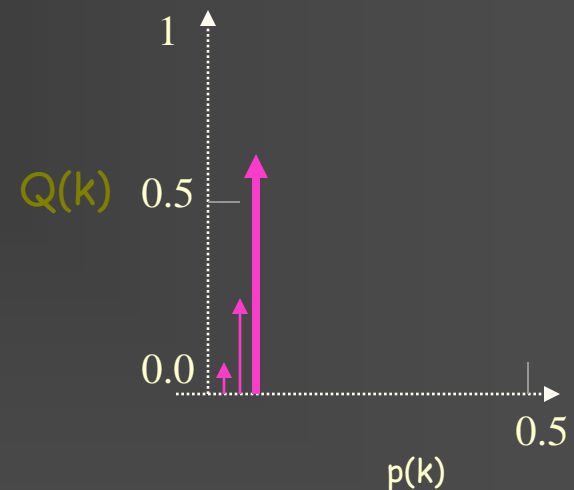
Middleton's class A: $E = 1$; $\sigma = 1$; $\sigma_I / \sigma_G = 10^{-3}$



$A = 0.1$



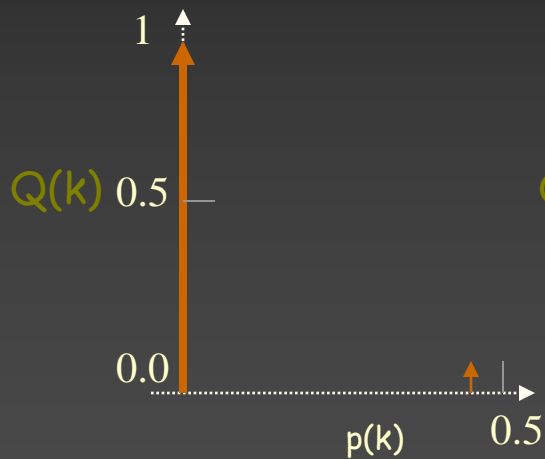
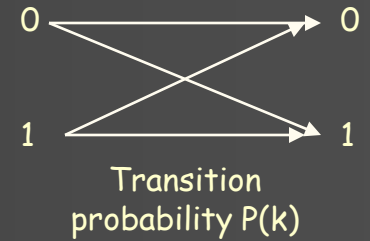
$A = 1$



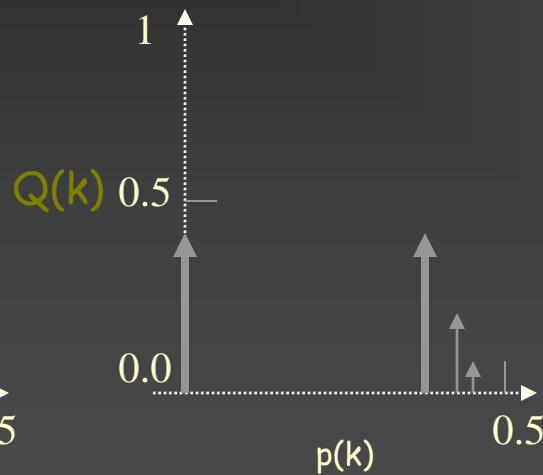
$A = 10$

Example of parameters

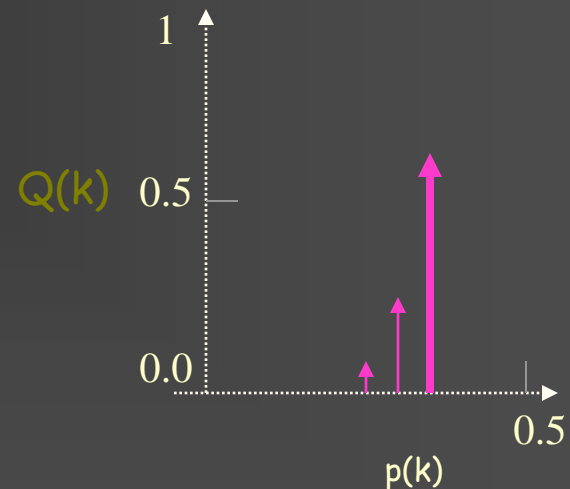
Middleton's class A: $E = 0.01$; $\sigma = 1$; $\sigma_I / \sigma_G = 10^{-3}$



$A = 0.1$

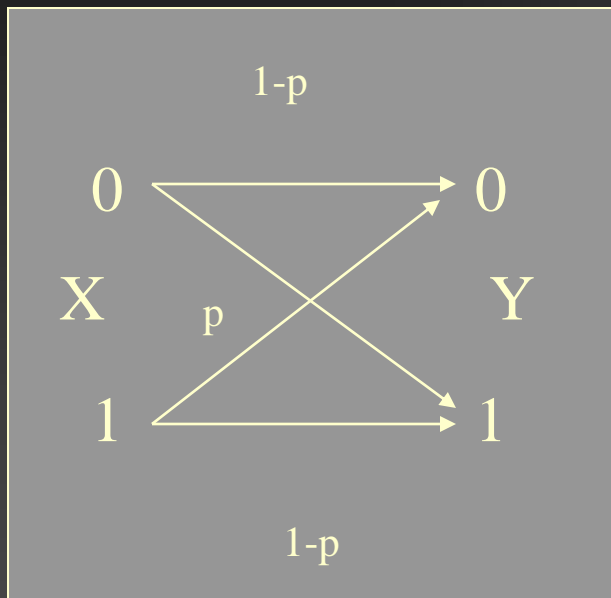


$A = 1$



$A = 10$

channel capacity: the BSC



$$I(X;Y) = H(Y) - H(Y|X)$$

the maximum of $H(Y) = 1$

since Y is binary

$$H(Y|X) = h(p)$$

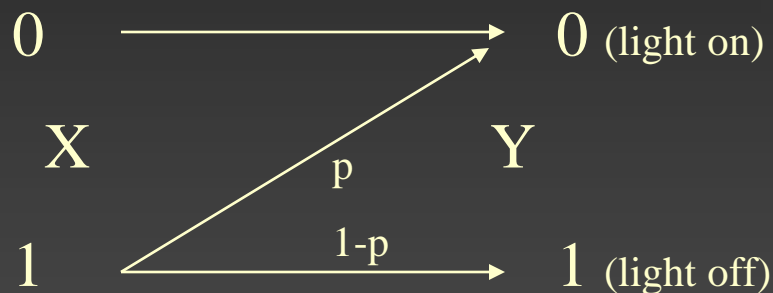
$$= P(X=0)h(p) + P(X=1)h(p)$$

Conclusion: the capacity for the BSC $C_{BSC} = 1 - h(p)$

Homework: draw C_{BSC} , what happens for $p > \frac{1}{2}$

channel capacity: the Z-channel

Application in optical communications



$$P(X=0) = P_0$$

$$H(Y) = h(P_0 + p(1 - P_0))$$

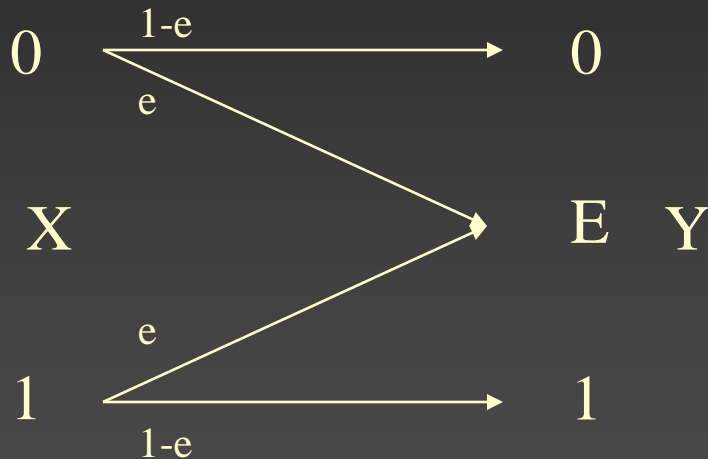
$$H(Y|X) = (1 - P_0) h(p)$$

For capacity,

maximize $I(X;Y)$ over P_0

channel capacity: the erasure channel

Application: cdma detection



$$P(X=0) = P_0$$

$$I(X;Y) = H(X) - H(X|Y)$$

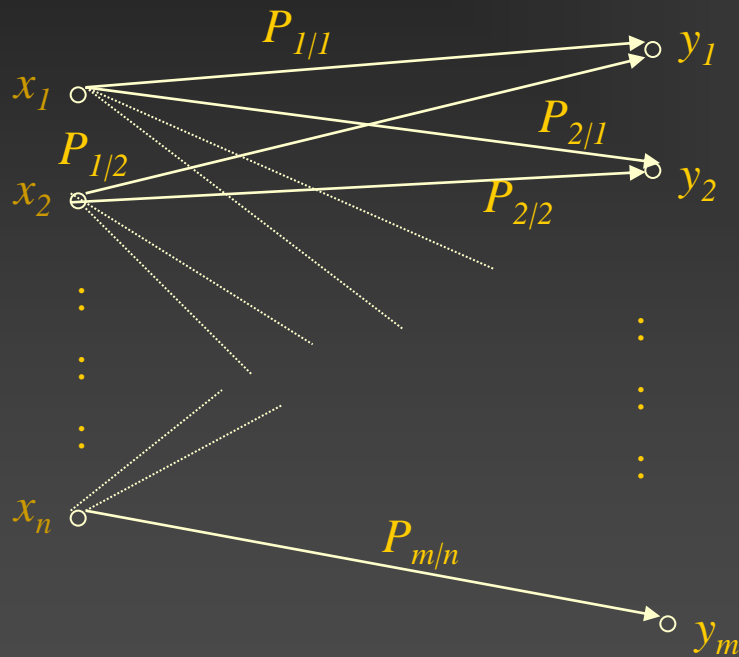
$$H(X) = h(P_0)$$

$$H(X|Y) = e h(P_0)$$

$$\text{Thus } C_{\text{erasure}} = 1 - e$$

(check!, draw and compare with BSC and Z)

channel models: general diagram



Input alphabet $X = \{x_1, x_2, \dots, x_n\}$

Output alphabet $Y = \{y_1, y_2, \dots, y_m\}$

$$P_{j|i} = P_{Y|X}(y_j|x_i)$$

In general:

calculating capacity needs more theory

clue:

$I(X;Y)$

is convex \cap in the input probabilities

i.e. finding a maximum is simple

Channel capacity

Definition:

The rate R of a code is the ratio $\frac{k}{n}$, where

k is the number of information bits transmitted
in n channel uses

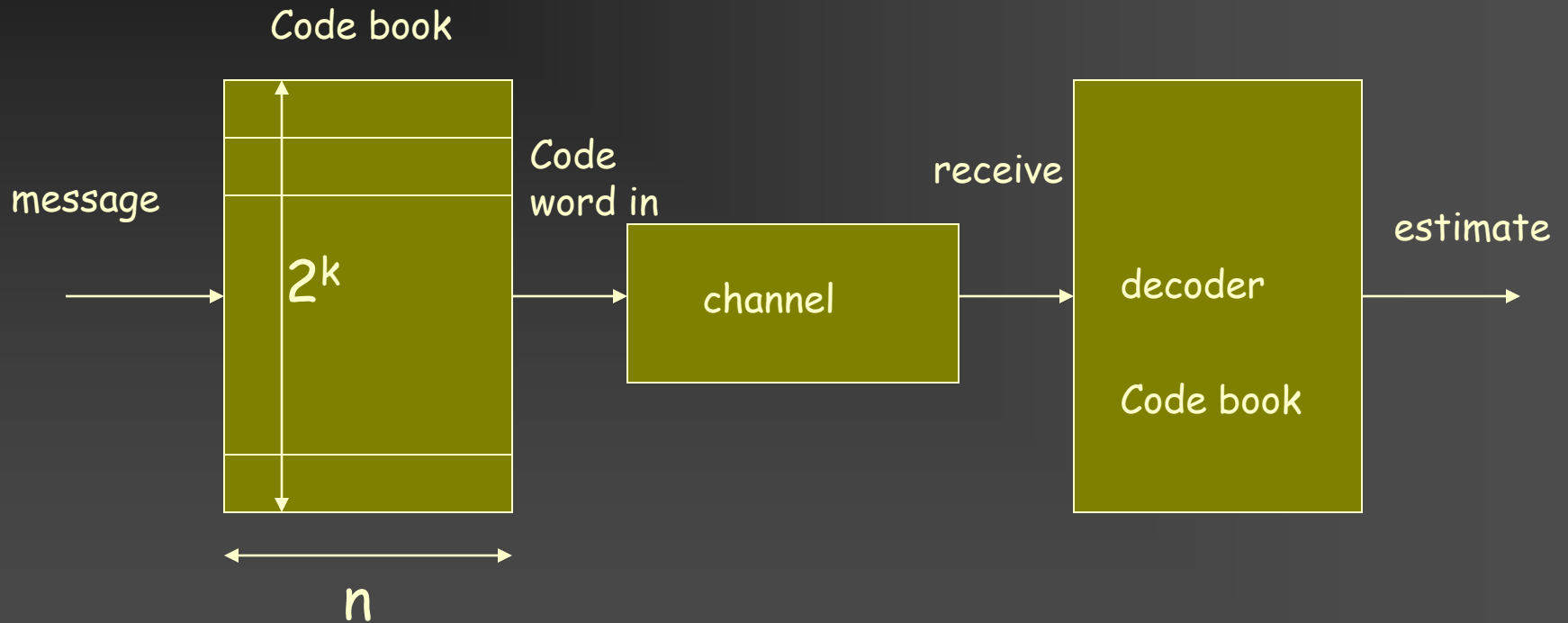
Shannon showed that: :

for $R \leq C$

encoding methods exist

with decoding error probability $\rightarrow 0$

System design



There are 2^k code words of length n

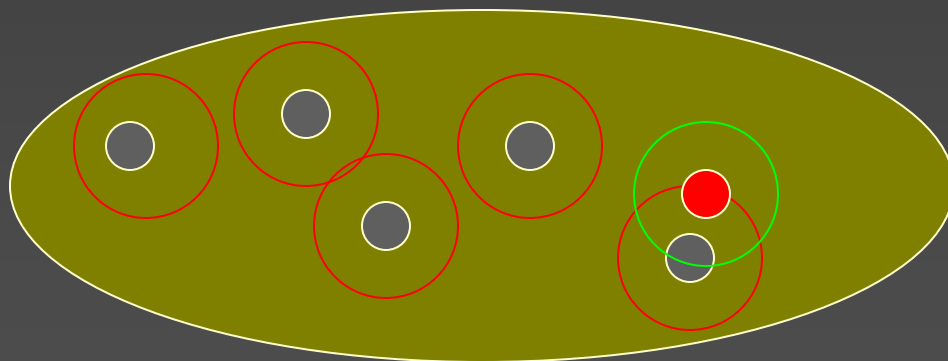
Channel capacity: sketch of proof for the BSC

Code: 2^k binary codewords where $p(0) = P(1) = \frac{1}{2}$ ○

Channel errors: $P(0 \rightarrow 1) = P(1 \rightarrow 0) = p$

i.e. # error sequences $\approx 2^{nh(p)}$ ○

Decoder: search around received sequence for codeword
with $\approx np$ differences ●



space of 2^n binary sequences

Channel capacity: decoding error probability

1. For t errors: $|t/n-p| > \epsilon$

$\rightarrow 0$ for $n \rightarrow \infty$

(law of large numbers)

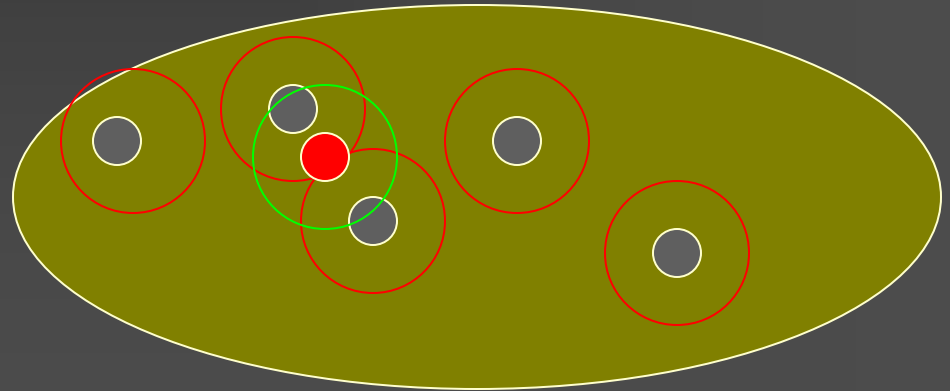
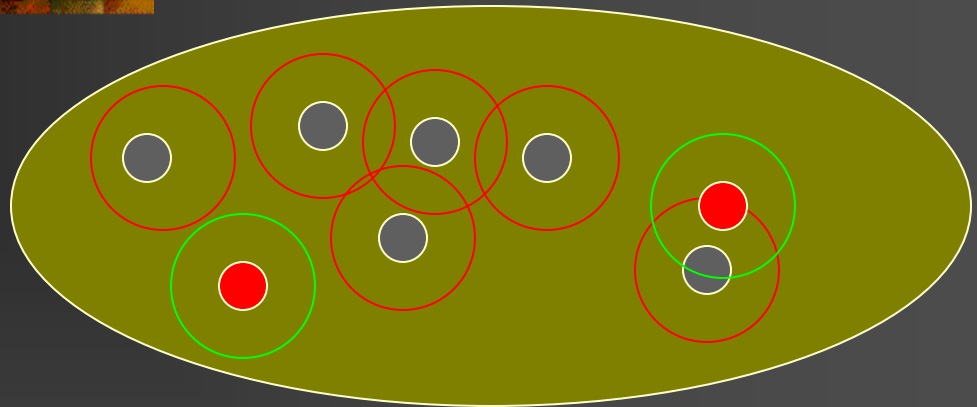
2. > 1 code word in region

(codewords random)

$$P(> 1) \approx (2^k - 1) \frac{2^{nh(p)}}{2^n} \rightarrow 0$$

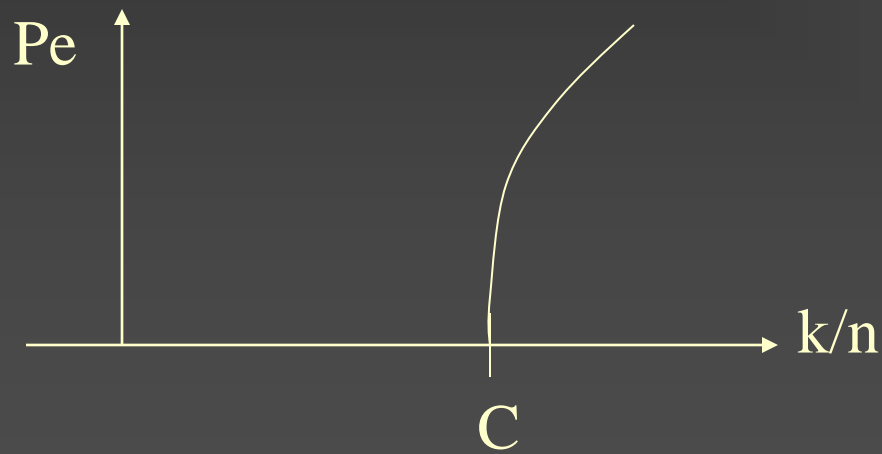
for $R = \frac{k}{n} < 1 - h(p)$

and $n \rightarrow \infty$

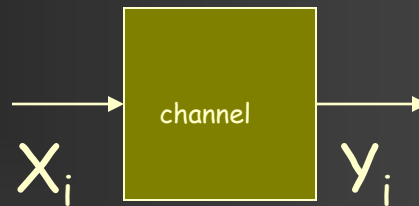


Channel capacity: converse

For $R > C$ the decoding error probability > 0

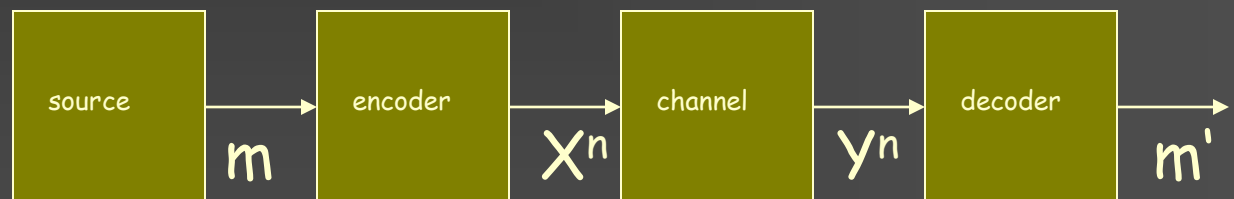


Converse: For a discrete memory less channel



$$I(X^n; Y^n) = H(Y^n) - \sum_{i=1}^n H(Y_i | X_i) \leq \sum_{i=1}^n H(Y_i) - \sum_{i=1}^n H(Y_i | X_i) = \sum_{i=1}^n I(X_i; Y_i) \leq nC$$

Source generates one out of 2^k equiprobable messages



Let P_e = probability that $m' \neq m$

converse $R := k/n$

$$\begin{aligned} k = H(M) &= I(M; Y^n) + H(M | Y^n) \\ &\leq \underbrace{I(X^n; Y^n)}_{X^n \text{ is a function of } M} + \underbrace{1 + k P_e}_{\text{Fano}} \\ &\leq nC + 1 + k P_e \end{aligned} \quad \left. \vphantom{\begin{aligned} k = H(M) &= I(M; Y^n) + H(M | Y^n) \\ &\leq I(X^n; Y^n) + 1 + k P_e \\ &\leq nC + 1 + k P_e \end{aligned}} \right\} 1 - Cn/k - 1/k \leq P_e$$

$$P_e \geq 1 - C/R - 1/k$$

Hence: for large k , and $R > C$,
the probability of error $P_e > 0$

Appendix:

Assume:

binary sequence $P(0) = 1 - P(1) = 1-p$

t is the # of 1's in the sequence

Then $n \rightarrow \infty$, $\varepsilon > 0$

Weak law of large numbers

Probability ($|t/n - p| > \varepsilon$) $\rightarrow 0$

i.e. we expect with high probability pn 1's

Appendix:

Consequence:

1. $n(p - \varepsilon) < t < n(p + \varepsilon)$ with high probability

2.
$$\log_2 \sum_{n(p-\varepsilon)}^{n(p+\varepsilon)} \binom{n}{t} \approx \log_2 (2n\varepsilon \binom{n}{pn}) \approx \log_2 2n\varepsilon + \log_2 2^{nh(p)}$$

3.
$$\frac{1}{n} \log_2 2n\varepsilon + \frac{1}{n} \log_2 2^{nh(p)} \rightarrow h(p)$$

4. A sequence in this set has probability $\approx 2^{-nh(p)}$